

Lost Securities Bond Application Forms

- Step 1** Complete the attached Lost Securities Bond Application Form and Personal Worth Statement.
- Step 2** The attached Indemnity Agreement must be executed and sworn before a Notary Public by **all** parties having an interest in the Lost Instrument.
- Step 3** Attach a letter from the transferring agent:
- Providing full instructions and;
 - Confirming that a Stop Transfer has been placed against the security.
- Step 4** Email the required information to bonds@erassure.com or fax it back to our office at **1-866-897-8901**.
- Step 5** A member from our team will provide you with a quote for the requested bond.

If you have any questions, please do not hesitate to contact one of our Insurance Service Consultants toll free at 1-855-636-3777

Economical Mutual Insurance Company
Hereinafter called the "Surety"

To complete this Application:

- Complete and attach form #1951 – Personal Worth Statement.
- Attach a letter from the Transfer Agent providing full instructions and confirming a Stop Transfer has been placed on security.
- The Indemnity Agreement on page 2 of this form must be executed and sworn before a Notary Public or Commissioner for Taking Affidavits by all parties having an interest in the Lost Instrument.

Note: If this is an application for Bank of Canada Bond of Indemnity, ECONOMICAL MUTUAL INSURANCE COMPANY must be inserted as the Name of Obligor Company.

Full Name of Applicant:

Full Address:

Occupation:

Name of Employer

Length of Service

Describe fully the circumstances under which the securities were lost or destroyed, including date of loss and what steps were taken to recover and/or prevent the Securities from being negotiated.

Has the applicant ever applied for and been refused this or any other bond? Yes No If Yes, provide details.

Name and address of the applicant's bank or financial institution:

Name and addresses of two (2) people (other than relatives) who have known the applicant for at least five (5) years:

Name

Full Address

Occupation

If the lost document is a STOCK CERTIFICATE, provide the following information:

Exact name in which shares are registered: _____

- Certificate Number: _____ Name of Issuer: _____
- Number of Common Shares: _____ **OR** Number of Preferred Shares: _____
- Was Certificate: Endorsed? Yes No Assigned? Yes No Pledged? Yes No
If Yes to any, provide details.
- Value of Accumulated Dividends: None OR \$ _____

If the lost security is a BOND, provide the following information:

Exact name in which bond is registered: _____

- Bond Number: _____ Name of Issuer: _____
- Was Bond: In bearer form? Yes No Endorsed? Yes No If Yes to either, provide details.
- Value of Bond Principal **plus** Interest: \$ _____
- Value of Coupons attached None OR \$ _____

Full Name of Applicant:

INDEMNITY AGREEMENT

In consideration of the Surety issuing the Bond(s) herein applied for, the undersigned hereby jointly and severally agree as follows:

1. The undersigned undertake to pay to the Surety, in advance, a premium computed in accordance with the Surety's rates for the Bond(s) applied for herein.
2. The undersigned undertake to indemnify the Surety in full for any loss or damages that it may suffer arising from the issue of one or several Bonds, or arising from a decision of the Surety not to issue any Bond, or arising from any default by the undersigned under the present agreement. The present undertaking includes, without limitation, the obligation of the undersigned to reimburse the surety all sums which it might be called upon to pay as a result of a judgement, arbitration award or settlement; as damages of any nature, including punitive and exemplary damages, as the case may be; in respect of any claim, liability or loss; as expenditure, costs or fees that it may incur, including the cost of internal or external adjusters and consultants; in satisfaction of judicial and extra-judicial fees and disbursements of the Surety's counsel on a solicitor and client basis and legal fees of claimants' counsel; as administration costs related to claims under Bonds and under this agreement.
3. In order to permit the Surety to meet its obligations under the Bonds, the undersigned undertake to advance to the Surety upon demand, funds or satisfactory guarantees sufficient to allow the surety to perform any or all of its obligations under the Bonds, which could be subject to indemnification under the terms of the present agreement, even before any payment has been made by the surety to a third party. Without limiting the generality of the foregoing, the undersigned undertake to advance funds or furnish guarantees, as soon as the Surety establishes or increases a reserve with respect to a claim or a situation relating to the Bond(s), up to the amount of such reserve which will be established by the Surety in its sole discretion.
4. The undersigned acknowledge that the Surety will have the right, in its sole and entire discretion, to decide whether to pay, settle or contest any claim under a Bond without any obligation to consult or advise the undersigned in advance of so doing. The undersigned acknowledge their obligation to indemnify the Surety in virtue of the present agreement, upon presentation by the Surety of a release or a copy of a cheque or any other proof of payment, which will be deemed to be complete proof of the amount paid and of the Surety's right to make such payment as a result of the issue of the Bonds and, consequently, its right to demand reimbursement from the undersigned under the terms of the present agreement.
5. This agreement shall extend to and be binding upon the heirs, executors, administrators, successors and assigns of the undersigned.
6. All of the terms and conditions of the present agreement are for the benefit of any predecessors or successors or assigns of the Surety, including as a result of mergers, name changes, acquisitions, portfolios or otherwise; and any reinsurance company or co-surety procured by the Surety to issue the requested bond(s).
7. Should the securities come into the possession or under the control of any of the undersigned, he, she or they will immediately deliver or cause the securities to be delivered to the Surety.

THE INDEMNITOR(S) CONSENT(S) TO A PERSONAL INVESTIGATION IN CONNECTION WITH THIS APPLICATION.

IN WITNESS whereof the undersigned have executed this Indemnity Agreement:

at: _____ on Date: _____
(City and Province/State) (dd/mm/yyyy)

Sworn to and subscribed before me
this _____ day of _____.

{SEAL}

(Signature of Notary Public)

(Signature of Indemnitor)

Sworn to and subscribed before me
this _____ day of _____.

{SEAL}

(Signature of Notary Public)

(Signature of Indemnitor)



PERSONAL WORTH STATEMENT (CONFIDENTIAL)

Economical Mutual Insurance Company
Hereinafter called the "Surety"

Name of Individual:
Full Residence Address (including Postal Code):

ASSETS	AMOUNT	LIABILITIES	AMOUNT
Cash		Debts to Financial Institutions (Schedule 7)	
Stocks and Bonds (Schedule 1)		Accounts Payable (Schedule 8)	
Life Insurance - Net C.S.V. (Schedule 2)		Real Estate Loans (Schedule 4)	
Accounts Receivable (Schedule 3)		Other Liabilities (Schedule 9)	
Real Estate (Schedule 4)			
Vehicles (Schedule 5)			
Other Assets (Schedule 6)			
Total Assets	\$	Total Liabilities	\$

PERSONAL NET WORTH (ASSETS MINUS LIABILITIES)	\$
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GROSS ANNUAL INCOME	AMOUNT	ANNUAL EXPENDITURES	AMOUNT
Salary, Wages, Commissions, Fees, Honoraria		Mortgage/Rent	
Dividends and Interest		Property Taxes	
Rental Income		Utilities	
Other Income - specify:		Estimated Living Expenses	
		Transportation	
		Payments on Loans, Charge Acct's & Other Contracts	
		Insurance Premiums (home, car, life)	
		Other Expenditures - specify:	
Total Assets	\$	Total Liabilities	\$

Yes No

1. Are you liable as a co-signor or guarantor?
2. Are there any suits or judgements against you?
3. Are you now or have you ever been bankrupt?

If you answered Yes to any of the questions, provide full details on separate pages and attach to this form.

The individual hereby certifies that the information provided above and in the schedules on the reverse is true and is made for the purpose of requesting the Surety to execute bonds of Suretyship and further authorizes the company and requests the banks and other parties named herein, to confirm the bank balances and all other items comprising this statement.

The individual consents to any reference or credit investigations that are made on my behalf in connection with my application for a Surety bond facility with the Surety.

SIGNED, this _____ day of _____, _____.



PERSONAL WORTH STATEMENT (CONFIDENTIAL)

Economical Mutual Insurance Company
Hereinafter called the "Surety"

Schedule 1 Stocks and Bonds	# of Shares or Par Values of Bonds	Description	Registered in Name of	Market Value		
	TOTAL				\$	
Schedule 2 Life Insurance	Face Amount	Company	Beneficiary	Net C.S.V.		
	TOTAL				\$	
Schedule 3 Accounts Receivable	Name of Payer		Maturity Date	Monthly Payments	Amount Outstanding	
	TOTAL				\$	
Schedule 4 Real Estate	Location/Description	Registered Owner	Date Purchased	Mortgages	Market Value	Amount Outstanding
	TOTAL					\$
Schedule 5 Vehicles	Year	Make and Model			Market Value	Amount Outstanding
	TOTAL					\$
Schedule 6 Other Assets	Description					Amount
	TOTAL					\$
Schedule 7 Liabilities	Name & Address of Financial Institution (Credit Cards or Charge Accounts)			Type (Credit Card/ Loan/Line of Credit)	Monthly Payments	Amount Outstanding
	TOTAL					\$
Schedule 8 Accounts Payable	Name of Payee					Amount Outstanding
	TOTAL					\$
Schedule 9 Other Liabilities	Description					Amount
	TOTAL					\$